

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

500155.06

March 16, 2004

First Inventor or Application Identifier

Guy F. Hudson

Title

SYSTEMS FOR MAKING AND USING BI-MODAL ABRASIVE SLURRIES FOR MECHANICAL AND CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATES

Express Mail Label No.

EL 646074244 US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. Fee Transmittal Sheet (+ copy)
(Submit an original and a duplicate for fee processing)
2. Applicant(s) Claim(s) Small Entity Status
3. Specification [Total Pages] **31**
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) [Total Sheets] **5**
5. Oath or Declaration [Total Pages] **1**
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet (37 C.F.R. 1.76)

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer-Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10. Assignment Papers (cover sheet & document(s))
11. 37 CFR 3.73(b) Statement *(when there is an assignee)* Power of Attorney
12. English Translation Document (*if applicable*)
13. Preliminary Amendment Total Pages **10**
14. Return Receipt Postcard
15. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
16. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
17. Other: Check, Certificate of Express Mail Revocation & Substitute POA

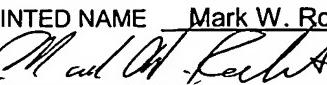
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

 Continuation Divisional Continuation-In-Part (CIP) of prior Application No.: 10/155,203 Filed May 23, 2002
Prior application information: Examiner Lan Vinh Group / Art Unit 1765 Incorporation By Reference (*useable if box 5b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

 Claims the benefit of Provisional Application No. _____**CORRESPONDENCE ADDRESS**

Mark W. Roberts, Ph.D.
Dorsey & Whitney LLP
 1420 Fifth Avenue, Suite 3400
 Seattle, Washington 98101-4010
 (206) 903-8800 phone / (206) 903-8820 fax

Customer No. 27,076TYPED or PRINTED NAME Mark W. Roberts, Ph.D.REGISTRATION NO. 46,160SIGNATURE Date 4-1-04

FEE TRANSMITTAL SHEET (FOR FY 2004)

Complete if Known	
Express Mail No.	EL 646074244 US
Filing Date	Concurrently Herewith
First Named Inventor	Guy F. Hudson
Group Art Unit	Not Yet Assigned
Examiner	Not Yet Assigned
Atty. Docket Number	500155.06

METHOD OF PAYMENT (Check One)

1. The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. Check Enclosed

FEE CALCULATION
1. BASIC FILING FEE

Large Entity		Small Entity		<u>Fee Description</u>	<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>\$</u>	<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>\$</u>
<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>\$</u>	<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>\$</u>					
1001	770	2001	385	[X] Utility Filing Fee	1051	130	2051	65
1002	340	2002	170	<input type="checkbox"/> Design Filing Fee	1052	50	2052	25
1003	530	2003	265	<input type="checkbox"/> Plant Filing Fee	1053	130	1053	130
1004	770	2004	385	<input type="checkbox"/> Reissue Filing Fee	1812	2,520	1812	2,520
1005	160	2005	80	<input type="checkbox"/> Provisional Filing Fee	1804	920	1804	920
Subtotal (1)		\$ 770			1805	1,840	1805	1,840

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	31	- 20	= 11	x \$ 18 = \$ 198
Ind.	3	- 3	= 0	x \$ 86 = \$ 0
Multiple Dependent Claims			x \$	= \$
Subtotal (2)		\$ 198		

Large Entity		Small Entity		<u>Fee Description</u>	<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>\$</u>
<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>\$</u>	<u>Fee</u> <u>Code</u>	<u>Fee</u> <u>\$</u>			
1202	18	2202	9	Claims in excess of 20	1202	18
1201	86	2201	43	Independent claims in excess of 3	1201	86
1203	290	2203	145	Multiple dependent Claim	1203	290
1204	86	2204	43	Reissue independent claims over original patent	1204	86
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	1205	18

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
1051	130	2051	65 Surcharge - Late filing fee or oath
1052	50	2052	25 Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130 Non-English specification
1812	2,520	1812	2,520 For Filing a Request for ex parte Reexamination
1804	920	1804	920 Requesting publication of SIR prior to Examiner action
1805	1,840	1805	1,840 Requesting publication of SIR after Examiner action
1251	110	2251	55 Extension for reply within first month
1252	420	2252	210 Extension for reply within 2 nd month
1253	950	2253	475 Extension for reply within 3 rd month
1254	1,480	2254	740 Extension for reply within 4 th month
1255	2,010	2255	1,005 Extension for reply within 5 th month
1401	330	2401	165 Notice of Appeal
1402	330	2402	165 Filing a brief in support of an appeal
1403	290	2403	145 Request for oral hearing
1814	110	2814	55 Terminal Disclaimer Fee
1452	110	2452	55 Petition to revive – unavoidable
1453	1,330	2453	665 Petition to revive – unintentional
1501	1,330	2501	665 Utility/Reissue issue fee
1502	480	2502	240 Design issue fee
1503	640	2503	320 Plant issue fee
1460	130	1460	130 Petitions to the Commissioner
1807	50	1807	50 Processing fee under 37 CFR 1.17(q)
1806	180	1806	180 Submission of IDS
8021	40	8021	40 Recording each patent assignment per property (times number of properties)
1801	770	2801	385 Request for Continued Examination (RCE)
Other fee (specify)			
Subtotal (3)		\$ 0	

Total Amount of Payment: **\$ 968**
Submitted by:

Name: Mark W. Roberts, Ph.D. Reg. No.: 46,160 Telephone: (206) 903-8800

Signature:  Date: 4-1-04

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Guy F. Hudson

Attorney Docket No.: 500155.06

Filed : April 1, 2004

Title : SYSTEMS FOR MAKING AND USING BI-MODAL ABRASIVE SLURRIES
FOR MECHANICAL AND CHEMICAL-MECHANICAL PLANARIZATION OF
MICROELECTRONIC-DEVICE SUBSTRATES

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

MAIL STOP PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the enclosures listed below are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL 646074244 US, on April 1, 2004, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,
DORSEY & WHITNEY LLP



/ for West Courier

MWR:pep

Enclosures:

Postcard
Check
PTO/SB/05 Utility Patent Application Transmittal
Application Data Sheet
Fee Transmittal Sheet (+ copy)
General Authorization
Preliminary Amendment
Specification, Claims, Abstract (31 pages)
5 Sheets of Drawings (Figures 1-5)
Copy of Declaration
Copy of Election and Power of Attorney
Copy of Revocation and Substitute Power of Attorney
Information Disclosure Statement and PTO-1449

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Guy F. Hudson

Attorney Docket No.: 500155.06

Filed : Concurrently Herewith

Title : SYSTEMS FOR MAKING AND USING BI-MODAL ARASIVE SLURRIES FOR
MECHANICAL AND CHEMICAL-MECHANICAL PLANARIZATION OF
MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES**GENERAL AUTHORIZATION**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

With respect to the above-identified application, the Commissioner is authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required and to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time and to charge any fees which may be required during the course of prosecution, or credit any overpayment, to Deposit Account No. 50-1266.

Date

4-1-04

Mark W. Roberts, Ph.D.
Registration No. 46,160

MWR:pep

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